FINRA NASAA Investor.gov U.S. SECURITIES AND EXCHANGE COMISSION

Is your financial firm asking you for a TRUSTED CONTACT?

There are a number of very important reasons to give your financial firm the name of someone you trust, and FINRA, NASAA and the SEC urge you to consider the request.

WHAT IS IT?

A "trusted contact" is a person you authorize your financial firm to contact in limited circumstances.

WHO SHOULD HAVE ONE?

We suggest a trusted contact for anyone who has an investment account.

How would having a trusted contact help me?

Maybe your investment professional needs to get in touch with you but can't when:

- You are traveling
- There is a natural disaster
- There is a concern about fraud
- You are having a health issue

Your trusted contact **CANNOT** make trades in your account

Your trusted contact **CANNOT** make decisions about your account

Being a trusted contact **DOES NOT** make them a power of attorney, legal guardian, trustee or executor

A trusted contact is someone who can help your financial firm help you, if needed. Add one today!

finra.org/trustedcontact