

| SUMMARY | IMPACTED SYSTEM AREA | COMMUNITY |
|---|-------------------------------|------------|
| Classic CRD | | |
| Pending Form U5 filings that were invalidated on August 21, 2021 will be removed from the system and the 'Pending U5 Filings' page will be retired. | Form U5 | Firms |
| IARD | | |
| Pursuant to a recent SEC rule , Item 5.L will be added to Form ADV to capture information about an adviser's marketing activities. | Form ADV | SEC, Firms |
| Answers to Form ADV Item 5.L will be added to View Organization and the applicable reports. | View Organization and Reports | SEC, Firms |