

Sunday, November 12	TIMES	Monday, November 13	Tuesday, November 14	Wednesday, November 15	Thursday, November 16	Friday, November 17
	8:00 a.m. – 8:50 a.m.	Breakfast	Breakfast	Breakfast	Breakfast	Breakfast
<p><b>ACCOMMODATIONS:</b>            The Ven at Embassy Row            2015 Massachusetts Ave. N.W.            Washington, D.C. 20036            (202) 265-1600</p> <p><b>MEALS:</b>            Faculty Club Restaurant</p> <p><b>CLASSROOM:</b>            McDonough School of Business            Rafik B. Hariri Building            4th Floor, Room 440            37th and O Streets            Washington, DC 20057</p> <p><b>ACADEMIC DIRECTOR:</b>            Professor James Angel</p> <p><b>PROGRAM MANAGER:</b>            Gloria Carls</p> <hr/> <p>This schedule is subject to change.</p>	8:50 a.m. – 9:00 a.m.	<b>Welcome Remarks</b>	<b>Group Exercise Overview</b> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<b>Group Exercise Overview</b> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<b>Group Exercise Overview</b> <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<b>Group Exercise Overview</b> <ul style="list-style-type: none"> <li>James Angel</li> </ul>
	9:00 a.m. – 10:30 a.m.	<b>SESSION 1:</b> Complex Financial Products <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<b>SESSION 5:</b> Crowdfunding and Funding Portals <ul style="list-style-type: none"> <li>Elliot Henslovitz</li> <li>James Murphy</li> </ul>	<b>SESSION 9:</b> Anti-Money Laundering Regulation I <ul style="list-style-type: none"> <li>Sarah Green</li> <li>Jason Foye</li> </ul>	<b>SESSION 13:</b> Cryptocurrency <ul style="list-style-type: none"> <li>James Angel</li> <li>Jason Foye</li> </ul>	<b>SESSION 17:</b> Arbitration Proceedings <ul style="list-style-type: none"> <li>James Angel</li> <li>Rick Berry</li> </ul>
	10:30 a.m. – 10:45 a.m.	Break	Break	Break	Break	Break
	10:45 a.m. – 12:15 p.m.	<b>SESSION 2:</b> Leadership: Building Teams and Managing Conflicts <ul style="list-style-type: none"> <li>Michael O'Leary</li> </ul>	<b>SESSION 6:</b> Municipal Securities Regulation <ul style="list-style-type: none"> <li>Ernesto Lanza</li> <li>Cindy Friedlander</li> </ul>	<b>SESSION 10:</b> Anti-Money Laundering Regulation II <ul style="list-style-type: none"> <li>Sarah Green</li> <li>Jason Foye</li> </ul>	<b>SESSION 14:</b> Cyber Threats <ul style="list-style-type: none"> <li>Greg Lyon</li> <li>Brita Bayatmakou</li> <li>Robert Francis</li> </ul>	<b>SESSION 18:</b> Investment Adviser Regulation <ul style="list-style-type: none"> <li>James Angel</li> </ul>
	12:15 p.m. – 1:30 p.m.	Lunch/Group Photo	Lunch	Lunch	Lunch	Lunch
	1:30 p.m. – 3:00 p.m.	<b>SESSION 3:</b> Leadership: Negotiation Skills for Compliance Professionals <ul style="list-style-type: none"> <li>Rachel Gillers</li> </ul>	<b>SESSION 7:</b> Senior Investor Protection <ul style="list-style-type: none"> <li>Linde Murphy</li> <li>Alicia Goldin</li> <li>Brooke Hickman</li> </ul>	<b>SESSION 11:</b> SEC and FINRA Enforcement <ul style="list-style-type: none"> <li>Marlon Paz</li> <li>Gina Petrocelli</li> </ul>	<b>SESSION 15:</b> Cyber Security <ul style="list-style-type: none"> <li>Greg Lyon</li> <li>Brita Bayatmakou</li> <li>Robert Francis</li> </ul>	<b>ASSESSMENT</b> 1:00 p.m. – 3:30 p.m.
	3:00 p.m. – 3:15 p.m.	Break	Break	Break	Break	
Registration and Welcome Reception 5:00 p.m. – 7:00 p.m.	3:15 p.m. – 4:45 p.m.	<b>SESSION 4:</b> Succession Planning <ul style="list-style-type: none"> <li>Philip Shaikun</li> </ul>	<b>SESSION 8:</b> Foreign Markets and Securities Regulation <ul style="list-style-type: none"> <li>James Angel</li> </ul>	<b>SESSION 12:</b> Customer Protection Rule: Clearing and Custody <ul style="list-style-type: none"> <li>James Angel</li> <li>John McKissick</li> </ul>	<b>SESSION 16:</b> White Collar Crimes <ul style="list-style-type: none"> <li>Mark MacDougall</li> </ul>	Thank you for attending. Safe Travels!
	4:45 p.m. – 5:00 p.m.	Break	Break	Break	Break	
	5:00 p.m. – 6:00 p.m.	Group Exercise	Group Exercise	Group Exercise	Group Exercise	
	6:00 p.m. – 8:30 p.m.	Off-Campus Dinner	Dinner	Dinner at the hotel	Dinner at hotel	