

Regulatory Notice 20-05

FINRA Requests Comment on a Proposal to Implement the Recommendations of the CE Council Regarding Enhancements to the Continuing Education Program for Securities Industry Professionals

I am a public arbitrator and law professor.

I support the rules changes but want to be sure that changes are consistent with the larger educational goals and that the entire program holds together, as consistently headed in a stated direction.

In general, professional education is headed in the direction of more hours, and more ethics and elimination of bias.

So, when proposing changes, as here, perhaps this is an opportunity to begin to re-shape the overall educational thrust, to focus on professional skills development, ethics, and elimination of bias.

Just my thoughts! Please let me know if I can contribute in other ways.

Best, Prof. Lisa Miller, Esq. (818) 802-1709