

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Classic CRD		
<p>Beginning February 27, 2021, CRD will be temporarily updated so that windows for NASAA's Series 65 examination will not systematically open when NY RA is the only registration requested on the filing (selection of other registrations on the filing will trigger any necessary exam requirements).</p> <p>If an individual requesting NY RA needs to take the Series 65, the firm must explicitly request the exam in Section 7 of Form U4 in order for the enrollment/window to be generated and the applicable fee to be charged. For more information, see the full announcement here.</p>	Form U4	Firms, States
<p>The CRD sitemap will be updated to direct filers to begin completing Form U4 in FINRA Gateway in advance of the retirement of the Classic U4 filing process on April 5, 2021.</p> <p>Please visit this page for additional details about enhancements to the U4 filing process.</p>	Form U4	Firms
IARD		
Investment Adviser firms submitting Form ADV without a Part 3 (Form CRS) attached will receive a warning message instead of a completeness check error.	Form ADV	Firms, SEC