

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
<b>Web CRD</b>		
<p>New completeness checks will display when the following data is entered in Section 13 Other Business of Form U4 or the case number fields in Bankruptcy and Judgment/Lien Disclosure Reporting Pages (DRP):</p> <ul style="list-style-type: none"> <li>• Any number in Social Security Number (SSN) format (xxx-xx-xxxx)</li> <li>• A full or partial entry of the individual's SSN (any format as provided by the individual)</li> </ul> <p>(Note: For DRPs, the above completeness checks will only apply when the sensitive information checkbox is <u>not</u> selected.)</p>	U4 & U5	Firms
A warning message will display when the 'Mark all AG' button is selected in the Section 5 Jurisdiction Registration section of Form U4 and Partial U5 filings.	U4 & U5	Firms
Redlining for pending filings will be extended to pending U4 filings that have been sent to the representative through the Allow Rep Edits function.	U4	Firms
Investment Adviser-only firms will be able to view the Filing History of individuals associated with their firm.	View Individual	Firms
<p>A new Sensitive Data Notice for reports will display in the following places:</p> <ul style="list-style-type: none"> <li>• A pop-up message that displays upon a user's first visit to the View Reports page in each new browser session</li> <li>• As a disclaimer in PDF reports</li> </ul>	Reports	FINRA, Firms, SEC, States, SROs
The 'Fingerprint – Status Monitoring Report' will be converted from PDF format to CSV format.	Reports	FINRA, Firms
E-mail notifications for fingerprint cards will now include non-registered individuals.	Notifications	Firms

BrokerCheck		
The following usability updates will be made: <ul style="list-style-type: none"><li>• The Individual/Firm toggle button on the search bar will clearly indicate which option is selected</li><li>• The banner displayed on the summary page of an individual or firm with an alert and/or comment will be made more prominent</li><li>• Users will be able to access a list of the most recent system enhancements by selecting the new 'What's New?' banner</li></ul>	All	General Public