Attachment A Candidate Profile Form—National Adjudicatory Council Election

Please complete all sections and email this document to <u>CorporateSecretary@finra.org</u>. An electronic version of this form is also available at <u>www.finra.org/rules-guidance/notices/election-notice-092523</u>.

Name:	Date:
(As you would like it to appear on official correspondence	2)
• Large Firm Seat (Full Term until December 2027)	
• Mid-size Firm Seat (Full Term until December 2027)	
Current Registration	
Title/Primary Responsibility:	
Firm Name:	Firm CRD#:
Individual CRD #:	Number of Registered Reps. at Firm:
Address	
Street Address:	Suite/Floor:
City:	State:
Email:	
Phone:	

Provide a brief biography or summary of your qualifications to serve as a NAC member. The biography should contain only factual information, such as employment experience, service on other industry-related boards and committees, education credentials, etc. (The biography should be no more than 500 words.)