

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

CRD No.

Respondent.

Disciplinary Proceeding
No.

Hearing Officer –

AFFIDAVIT OF

I swear that the testimony I will give in this proceeding will be truthful.

Respectfully submitted,

Signature: _____

Printed Name:

Date Executed:

Sworn to and subscribed before me this ____ day of _____, _____.

Signature: _____

Notary Printed Name:

Notary Public

My commission expires: _____, _____.