

**FINRA Entitlement Program Certification Representative
Designation**

Instructions:

The FINRA Entitlement Program requires that organizations annually certify accounts of their users, and if applicable, administrators, by the due date. This form is for organizations that do not have a Super Account Administrator and is used to designate or update a Certification Representative (CRep) who is responsible for and authorized to review and verify accounts that access applications on the FINRA Entitlement Platform. For organizations with Account Administrators, the CRep must be an Account Administrator.

Authorized Signatory Requirement:

An Authorized Signatory of the organization must sign this form. An Authorized Signatory is an officer or an individual who is in a position that is authorized to sign on behalf of the organization.

Organization Information

Organization ID #:*

Organization Name:*

Street Address:*

City, State & Zip Code and Country:*

Org Email:*

Phone Number:*

Certification Representative
() New () Replacement

The CRep is an individual who is responsible for and authorized to review and certify accounts for your organization. For organizations with Account Administrators, the CRep must be an Account Administrator.

First Name:*

Last Name:*

Email:*

Phone Number:*

Authorized Signatory's Signature

Executed on:

Authorized Signatory's Signature:

Print Name:

Title:

Email:

How To Submit this Form:

Execute this FINRA Entitlement Program Certification Representative Designation Form by completing all required sections and submitting via DocuSign.

Questions: FINRA Entitlement at 240-386-4185