

2024 **FINRA**

# Cybersecurity Conference

FINRA's Cybersecurity Conference is a one-day, hybrid event that is designed to help you stay current on today's cybersecurity challenges, understand vulnerabilities and latest threats and create resilience against cyber attacks. Whether you work in information security, information technology, cybercrime, compliance or are a business owner, you will learn from leading experts in the industry and participate in engaging sessions to leave you with valuable information to help you protect your organization.

# Agenda

All times are listed in Eastern Time.

| Tuesday, February 6     |  |
|-------------------------|--|
| 8:00 a.m. – 9:30 a.m.   | Breakfast  |
| 9:30 a.m. – 10:15 a.m.  | <p>▶ <b>Welcome Remarks and Fireside Chat: Latest Trends in Cybersecurity</b></p> <p>Hear insights into FBI cyber investigations and the intersection between cybersecurity and fraud. This includes the role of emerging technologies such as generative artificial intelligence and how digital advancements will shape the future cyber threat landscape and industry response.</p> |
| 10:15 a.m. – 10:30 a.m. | Break  |
| 10:30 a.m. – 11:15 a.m. | <p>▶ <b>Cyber-Enabled Fraud in the Digital Age</b></p> <p>This session explores the most recent cyber-enabled fraud incident trends, highlights key lessons learned from the incidents and explores effective practices and controls firms can employ to bolster preventative and response measures.</p>   |
| 11:15 a.m. – 11:35 a.m. | Networking Break   |
| 11:35 a.m. – 12:20 p.m. | <p>▶ <b>Emerging Cyber Threats: Technology, Innovation and Associated Risks</b></p> <p>Hear insights from FINRA, law enforcement and industry practitioners on the cutting-edge and emerging cyber threats, technologies and impacts on the financial sector.</p>  |
| 12:20 p.m. – 1:45 p.m.  | Cyber Topics Networking Lunch and Dessert with Exhibitors  |
| 1:45 p.m. – 2:30 p.m.   | <p>▶ <b>Cyber Tabletop Exercise</b></p> <p>Join FINRA staff for an interactive session that simulates a realistic cyber attack scenario. With active audience participation, we will navigate the steps involved in an emergency incident response as one community combatting the threat(s).</p>  |
| 2:30 p.m. – 2:45 p.m.   | Break  |
| 2:45 p.m. – 3:30 p.m.   | <p>▶ <b>Establishing and Strengthening Your Cybersecurity Posture</b></p> <p>Join for an engaging discussion among FINRA and industry practitioners as they share practical measures firms can employ to strengthen resiliency and protect against cyber threats throughout the lifecycle of developing a cybersecurity program from inception through optimization.</p>               |
| 3:30 p.m. – 3:45 p.m.   | Break  |
| 3:45 p.m. – 4:30 p.m.   | <p>▶ <b>Success Stories in Mitigating Cyber Risks</b></p> <p>Listen to industry members, FINRA staff and law enforcement as they share real-life cyber-intrusion events and the tactics that were employed to successfully mitigate the impact of the attacks and lessons learned that firms can consider to protect their organizations.</p>  |
| 4:30 p.m. – 4:35 p.m.   | ▶ <b>Concluding Insights</b>   |

For more information and to register, please visit [www.finra.org/cybersecurity2024](http://www.finra.org/cybersecurity2024)

# Information Stations and Exhibitors

## Information Stations

A variety of FINRA departments and government-affiliated agencies that specialize in cybersecurity will be available onsite to answer any questions about cybersecurity-related topics and their organization's initiatives. Stop by these tables in the conference foyer for informal conversations and information gathering.

Stations include:

- FINRA Crypto Hub: Crypto Asset Investigations
- FINRA Cyber-Enabled Fraud: Hacking Investigations
- FINRA Cybersecurity & Technology: Regulatory Exams and Investigations
- FINRA Data & Analytics
- NY Metro InfraGard Members Alliance

## Exhibitors

Conference exhibitors showcase a range of products and services for broker-dealer firms.

Current exhibitors include:

- Compass IT Compliance, LLC
- DataBee™, from Comcast Technology Solutions
- Elteni - Cybersecurity Consulting & Advisory
- FCI Cyber
- FS-ISAC
- Magna5
- Smarsh

FINRA has a limited number of exhibitor opportunities remaining for this conference. To secure your participation, contact Jeffrey Arcuri at email [Jeff.Arcuri@finra.org](mailto:Jeff.Arcuri@finra.org) to request a conference exhibitor package.



### CONTINUING EDUCATION (CE) CREDITS

The Cybersecurity Conference qualifies for Certified Regulatory and Compliance Professional (CRCP)® Continuing Education (CE) Credits—plus earn a Continuing Legal Education (CLE) CE voucher.

# Registration and Fees

## Registration

### How to Register

To register, visit [www.finra.org/cybersecurity2024](http://www.finra.org/cybersecurity2024) and complete the online registration form using your credit card. Conference registration is limited and available on a first-come, first-served basis. If you experience difficulties registering, please call (800) 321-6273 or send an email to [conreg@finra.org](mailto:conreg@finra.org).

### Confirmation Email

You will receive an email confirmation of your registration. Please make sure your registration has been confirmed prior to your arrival onsite at the conference.

### Cancellation Policy

Full refunds for registration fees will be granted to written requests received 14 days or more prior to the start of the conference. Refunds will not be granted after January 23, 2024.

## Fees

| In Person—Individual                               |         |
|--|---------|
| FINRA Member (must provide valid CRD #)            | \$795   |
| FINRA Member Small Firm (must provide valid CRD #) | \$395   |
| Non-Member   | \$1,175 |
| Government / State                                 | \$560   |

| In Person – Group* (Per Person)                    |         |
|--|---------|
| FINRA Member (must provide valid CRD #)            | \$695   |
| FINRA Member Small Firm (must provide valid CRD #) | \$295   |
| Non-Member   | \$1,000 |

\*Available to firms registering 3 or more employees at the same time with the same credit card. Please follow instructions in registration system.

| Virtual Attendee                              |       |
|---|-------|
| FINRA Member (must provide valid CRD #)       | \$150 |
| FINRA Member Group (must provide valid CRD #) | \$100 |
| Non-Member                                    | \$250 |
| Non-Member (Group)                            | \$200 |
| Government / State                            | \$150 |

# Hotel Information

## Contact Information

If you have any questions regarding this conference or for information about other administrative policies, please call (800) 321-6273.

## Hotel Information

### Accommodations

A room block is available at the conference hotel at the special room rate of \$329 per night, plus tax. The rate is available until January 15, 2024, or until the room block is sold out.

### Room Reservation Process for FINRA Programs

To reserve a room at the conference hotel, you must first register as an attendee for the conference. A hotel reservation link will then be provided in your registration summary page and your registration confirmation email. Please [contact us](#) with any additional questions.

### Travel Information

FINRA suggests that you do not purchase restricted or nonrefundable tickets for travel to the conference, especially prior to the receipt of your registration confirmation. FINRA assumes no liability for penalties or fare increases should the conference sell out or in the unlikely event that changes to the conference dates and/or location become necessary. **Please note that hotel reservations, cancellations, and charges are the attendee's responsibility.**