

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Classic CRD		
Effective November 21, 2020, NYSE ARCA will recognize the 'Introducing Broker-Dealer/Financial and Operations Principal (FI)' registration category	Forms U4 & U5	SROs, Firms
The 'Firm – Application Statistics Report' will be updated to include Investment Adviser statistics.	Reports	States