

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Web CRD		
A link to information about CRD Transformation will be added to the Web CRD Site Map.	Site Map	All
A new completeness check will prevent the opening of future exam windows that would have a begin date after October 1, 2018. This check applies to representative-level exams that will be impacted by the consolidated registration rules described in Regulatory Notice 17-30 .	Form U4	Firms
A new message regarding protecting personal information will display on the Completeness Check and Submit Filing pages of individual form filings. For more information, please see www.finra.org/crd/protectdata .	Forms U4, U5 & U6	FINRA, Firms, States, SROs
Effective May 21, 2018, NYSE National (NYSE-NAT) will begin registering firms and individuals in Web CRD. Additionally, NYSE-NAT will also begin recognizing the following registration categories: <ul style="list-style-type: none"> • Compliance Official (CO) • Market Maker Authorized Trader-Equities (MT) 	Forms BD, U5 & U5	Firms, SROs
IARD		
A new message regarding protecting personal information will display on selected sections of Form ADV when the filing is in data-entry mode.	Form ADV	SEC, Firms
The completeness check for pension consultants relying on rule 203A-2(a) (Item 2.A.(7)) will be updated to include Items 5.D.(1)(i) and 5.D.(2)(i) as part of an acceptable response.	Form ADV	SEC, Firms
Form ADV Filing History will correctly apply pagination when viewing Schedule R.	Form ADV	SEC, Firms
PFRD		
Form PF filers will no longer receive a GSE when saving Section 1a Signatures for relying advisers that are listed as Related Persons.	Form PF	SEC, Firms