



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #30-24) 05/14/2024 Alpine Summit
Energy Partners, Inc (ALPSQ)**

Notice has been received that the above company's liquidating plan became effective on 5/13/2024. Pursuant to the plan, On the Effective Date, Parent Interests shall be cancelled and discharged, with Holders of such Parent Interests receiving no Distribution on account of such Parent Interests. Please consult the company's Third Amended Liquidating Plan for further details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-7760800.

¹ See *e.g., In re: Alpine Summit Energy Partners, Inc., et al., Debtors. Chapter 11 Case No. 23-90739 (MI) (Jointly Administered) Third Amended Liquidating Plan of Alpine Summit Energy Partners Inc. and its Debtor Affiliates Under Chapter 11 of the Bankruptcy Code*