

From: [Mary Cocuzzi](#)
To: [Comments, Public](#)
Subject: Special Notice 063021 Comment
Date: Thursday, July 8, 2021 10:33:33 AM

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Attention: Jennifer Piorko Mitchell, Office of the Corporate Secretary, FINRA

You requested comments that will help inform and guide investor education.

- Educate investors on how to perform due diligence on stocks they are considering.
- Warn investors that popular mainstream media sources, even if they sound reliable, dependable and respectable, often have bias in favor of institutional investors where Big Money can be influential.
- Remind investors that schemes and scams have always been around and an investor should rely on their own due diligence rather than something they read about on the internet or heard on TV or in the barbershop.
- Discuss the different exchanges that stocks are traded on, and how one can select an exchange to avoid so-called "dark pools" if they choose.
- Advise retail investors that institutions are permitted to conduct high frequency trading (HFT) that is not available to retail investors and which may give larger institutional investors an advantage, thus skewing the free market.
- Caution investors that even though certain practices such as naked short selling are technically illegal, the penalties given for such practices do not discourage its blatant use among some groups and may be freely used by certain groups.

Thank you.
Mary Cocuzzi