

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
<b>General</b>		
The Web CRD, IARD, PFRD & FPRD systems will begin to be available on Sundays from 10 a.m. to 6 p.m. (ET).	All	All
<b>Web CRD</b>		
Pending SEC approval, a new SRO MIAX PEARL will be added to Forms U4, U5, BD & BDW. Once approved, the following individual registration categories will be available: <ul style="list-style-type: none"> <li>GS, IE, CD, CN, TD, SU, GP, TP, OP, FN, AP, CO, CT</li> </ul>	U4, U5, BD & BDW	Firms, SROs
The existing MIAX SRO will be renamed to 'MIAX Options'.	All	Firms, SROs
Effective September 12, 2016 the new MSRB Series 50 exam will be available to request on Form U4.  For additional information about the exam, please refer to the MSRB website: <a href="http://www.msrb.org/Regulated-Entities/Professional-Qualification/Series-50.aspx">http://www.msrb.org/Regulated-Entities/Professional-Qualification/Series-50.aspx</a>	U4	Firms
The organization Update Current Registration page will be enhanced to: <ul style="list-style-type: none"> <li>Add a calendar control to the effective date field.</li> <li>Display a confirmation message at the top of the page when the registration status or effective date is changed and saved.</li> </ul>	Non-Filing Information	FINRA, SEC, SROs, States
The link to E-Bill from CRD will be fixed to open E-Bill in a separate tab even when a browser's pop-up blocker is on.	E-Bill	FINRA, Firms
<b>IARD</b>		
The IARD Registration/Reporting Status History page will correctly display the full Exempt Reporting Adviser (ERA) status explanation as entered by SEC when an ERA status is updated via NFI.	View Organization	Firms, SEC
<b>IAPD</b>		
The firm summary page will display the 'View Latest ADV' and 'Part 2 Brochures' buttons appropriately based on the firm's registration/reporting status.	Firm Summary	General Public
The IAPD URL will be updated to use 'HTTPS'.	All	General Public
An optional pop-up Foresee survey will be added to allow users to provide feedback about the site.	All	General Public

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
<b>Web EFT</b>		
<p>The Sunday and Monday report delivery schedule will be modified to account for CRD becoming available on Sundays. The updated schedule will be posted at the bottom of the following page on September 10, 2016: <a href="http://www.finra.org/industry/crd/web-eft-frequently-asked-questions">http://www.finra.org/industry/crd/web-eft-frequently-asked-questions</a></p> <p>Additionally, form filing uploads will be processed during CRD available hours on Sundays.</p>	Uploads and Reports	EFT Subscribers
<p>Pending SEC approval, a new SRO MIAX PEARL will be available to request/terminate on Forms U4 &amp; U5. MIAX PEARL will also be added to all applicable reports. Once approved, the following individual registration categories will be available:</p> <ul style="list-style-type: none"> <li>• GS, IE, CD, CN, TD, SU, GP, TP, OP, FN, AP, CO, CT</li> </ul>	Uploads and Reports	EFT Subscribers
<p>The regulator code for the existing MIAX SRO will be changed to 'MIAX Options' in all applicable upload and report schemas.</p>	Uploads and Reports	EFT Subscribers
<p>Effective September 12, 2016 the new MSRB Series 50 exam will be available to request on Form U4, and also added to applicable reports schemas.</p> <p>For additional information about the exam, please refer to the MSRB website: <a href="http://www.msrb.org/Regulated-Entities/Professional-Qualification/Series-50.aspx">http://www.msrb.org/Regulated-Entities/Professional-Qualification/Series-50.aspx</a></p>	Uploads and Reports	EFT Subscribers
<b>PFRD</b>		
<p>The PFRD organization search function for SEC users will be modified to only return relevant firms, which will include related persons listed on Form PF filings.</p>	Organization Search	SEC
<b>FPRD</b>		
<p>Entitled FINRA and SEC users will be able to submit and review Form U6 filings for Funding Portal organizations and their associated individuals.</p>	U6	FINRA, SEC