

Back To Basics Compliance (B2BC) Program

Since 2015, the FINRA Annual Conference has included a special program designed to help new compliance professionals gain a better understanding of basic regulatory requirements and compliance responsibilities. The B2BC sessions are designed to be hands-on and provide attendees with the fundamentals of the topics covered. Participants are also encouraged to ask questions.

2018 FINRA
Annual Conference

May 21–23, 2018

Marriott Marquis
Washington, DC



Key Benefits

- ▶ More opportunities to learn and interact with FINRA speakers and staff
- ▶ Opportunities to build a network with other B2BC attendees
- ▶ Valuable insight and practical guidance on compliance activities



Program Overview and Sessions

In addition to other exciting conference sessions and networking events, the B2BC program schedule includes a special track for participants. Session topics within this track are included below. Download the [conference brochure](#), which includes the full agenda and session descriptions.

- ▶ Advertising Compliance Boot Camp: Fundamentals of FINRA Rule 2210
- ▶ Creating an Effective Records Management and Retention Program
- ▶ Effective Practices in Fraud Detection
- ▶ Information Security 101
- ▶ Mock Examination
- ▶ Suitability: What You Need to Know



How to Participate

All Annual Conference attendees with less than five years of experience may participate in the B2BC program at no additional cost, and may sign up when registering for the conference. If you have any registration questions, please call (202) 728-6980 or email conreg@finra.org. If you have any questions about the session content and schedule, please contact memberrelations@finra.org.