



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #31-19) 9/25/2019
Quantum Fuel Systems Technologies Worldwide, Inc. (QTWWQ)

Notice has been received that the above Company's Second Amended Plan of Liquidation became effective on 9/23/2019. On the effective Date, Holders of Equity Interests in the Debtor will not receive any distribution on account of such Equity Interests. On the Effective Date, Equity Interests shall be cancelled. Please consult the company's bankruptcy filings for thorough details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: QF Liquidation, Inc.*, Debtor. Chapter 11 Case No. 2:16-BK-11202 MW. Second Amended Plan of Liquidation of the Debtor Under Chapter 11 of the Bankruptcy Code.