



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems

UNIFORM PRACTICE ADVISORY (UPC #33-19) 10/02/2019

Altaba, Inc. (Nasdaq Symbol: AABA)

FINRA has received inquiries regarding whether an OTC symbol will be assigned for the shares of Altaba, Inc. (the “Company”), which currently trade on Nasdaq Global Select Market (“Nasdaq”) under the symbol AABA (CUSIP 021346101). FINRA understands that Nasdaq intends to halt trading in the Company’s shares of common stock following the close of regular trading at 4:00 p.m. Eastern time on October 2, 2019 (See Nasdaq Alert #2019-184 <http://www.nasdaqtrader.com/TraderNews.aspx?id=ECA2019-184>).

According to the Company’s below-referenced Form 8-K filing with the Securities and Exchange Commission, the Company intends to file a certificate of dissolution with the Secretary of State of the State of Delaware immediately following the close of regular trading on Nasdaq on October 4, 2019. Upon the filing of the certificate of dissolution, the Company will close its stock transfer books, after which record holders of the Company’s shares will be prohibited from transferring record ownership of the shares, except by will, intestate succession or operation of law.

FINRA is issuing this UPC Advisory to alert members, investors, and other interested parties that FINRA currently does not intend to issue an OTC quoting and trading symbol for AABA shares following its delisting from Nasdaq or for the rights to receive any post-dissolution liquidating distributions. The absence of a symbol does not alter the permissibility of transferring the shares or the rights to receive any post-dissolution liquidating distributions as described in the below-referenced Form 8-K filing (or other applicable documents).

For more information, please refer to AABA’s Form 8-K filed with the Securities and Exchange Commission on September 23, 2019. (See SEC Form 8-K <https://www.sec.gov/Archives/edgar/data/1011006/000119312519252251/d808429d8k.htm>)