

(Refer to UPC#39-19) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #40-19) Date November 20, 2019

WHEN, AS AND IF ISSUED SETTLEMENT DATES

ISSUE

SETTLEMENT DATE

Issuer Name: Bristol Myers Squibb Co (BQBBV) November 25, 2019

Class of Security: Contingent Value Rights "When Issued"

Questions regarding this notice should be directed to: FINRA Operations - 1-866-7760800.