



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #13-20) 4/20/2020
Melinta Therapeutics Inc (MLNTQ)

Notice has been received that the above Company's Amended Joint Chapter 11 Plan of Reorganization became effective on 4/20/2020. Pursuant to the plan, On the Effective Date, Allowed Interests in Melinta Therapeutics, including Old Melinta Securities, shall be deemed automatically cancelled, released, discharged, and extinguished without further action by the Reorganized Debtors of the Plan Administrator (as applicable), and Holders thereof shall not receive or retain an property under the Plan on account of such interest.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: Melinta Therapeutics, Inc., et al., Debtors*. Case No. 19-12748 (LSS) (Jointly Administered) Amended Joint Chapter 11 Plan of Reorganization of Melinta Therapeutics, Inc and Its Debtor Affiliates.