



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #16-21) 03/18/2021 LSC**  
**Communications Inc (LKSDQ)**

Notice has been received that the above Company's Sixth Amended Joint Plan of Reorganization became effective on 3/10/2021. In Accordance with the plan, on the Effective Date, No Holder of an Equity Interest in LSC shall receive any Distributions on account of its Equity Interest. On and after the Effective Date, all Equity Interests in LSC shall be canceled and shall be no further force and effect, whether surrendered for cancelation or otherwise. See the Company's Sixth Amended Joint Plan of Reorganization for more details.<sup>1</sup>

In Addition, Except to the extent that a Holder of an Allowed Junior Remaining Claim agrees to a less favorable treatment, and in full and final satisfaction, settlement and release of and in exchange for its Allowed Junior Remaining Claim, each Holder of an Allowed Junior Remaining Claim shall receive its Pro Rata share of the Junior Remaining Claim Distribution Pool, subject to the Unsecured Claim Pool.

Issuer of Original Securities	Description of Original Securities to be Cancelled on Effective Date	CUSIPs of Original Securities	Rate of Cash per \$1,000 of original securities
LSC Communications Inc.	8.75% Notes due 2023 (144a)	50218PAA5	44.27701976
LSC Communications Inc.	8.75% Notes due 2023, (RegS)	U54634AA5	44.27701976

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

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<sup>1</sup> See *e.g., In re: LSC Communications, Inc., et al., Debtors*. Chapter 11 Case No. 20-10950 (SHL) Jointly Administered Amended Joint Chapter 11 Plan of Liquidation of LSC Communications, Inc and its Debtor Affiliates.