



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #30-21) 06/16/2021 SHL Liquidation
Industries Inc (f/k/a Shiloh Industries, Inc) (SHLOQ)**

Notice has been received that the above Company's Second Amended Joint Plan of Liquidation has become effective on 06/04/2021. On the Effective Date, all outstanding Stock Interests of the Debtors will be cancelled. Upon such cancellation, no property will be distributed to, or retained by, Holders of such Stock Interests of the Debtors. See the Company's Second Amended Joint Plan of Liquidation for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: SHL Liquidation Industries Inc.*, et al., Debtors. Chapter 11 Case No. 20-12024 (LSS) (Jointly Administered) Second Amended Joint Plan of Liquidation of SHL Liquidation Industries Inc and Its Debtor Affiliates.