

(Refer to UPC# 32-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #33-2021) June 21, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES

ISSUE Settlement Date

Issuer Name: Algonquin Power & Utilities Corp Corporate Units (AQNNF) June 24, 2021

Class of Security: Corporate Units

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.