



**(Refer to UPC# 58-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #60-2021) July 20, 2021**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: Stifel Financial Corp. (STFLP)**

**July 22, 2021**

**Class of Security: Dep Shs Rep 1/1000<sup>th</sup> Preferred Series D**

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.