



(Refer to UPC# 66-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #67-2021) 07/27/2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: Capital One Finl Corp (COFNL)

07/30/2021

Class of Security: Dep Shs Repstg 1/40th Perp Pfd Ser N

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.