



(Refer to UPC# 69-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #70-2021) July 30, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: Silvergate Cap Corp (SIVCL)

August 4, 2021

Class of Security: Dep Shs Repstg 1/40th Perp Pfd Ser A

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.