



**(Refer to UPC# 120-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #126-2021) November 23, 2021**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: New York Mortgage Trust Inc (NYMGP)**

November 29,2021

**Class of Security: Series G Cumulative Redeemable Preferred Stock**

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.