



**(Refer to UPC# 12-2022) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #14-2022) June 14, 2022**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: Oxford Lane Cap Corp (OXFCP)**

June 16, 2022

**Class of Security: SER 2029 7.125% PFD**

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.