



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #06-23) 1/24/2023 Unit Corporation**  
**(UNTCQ)**

Notice has been received that the above Company's Amended Joint Chapter 11 Plan of Reorganization became effective on 9/3/2020. Pursuant to the plan, On the Effective Date, or as soon thereafter as reasonably practicable, all Unit Corp. Interests shall be cancelled, released, discharged, and extinguished and the Holders of Unit Corp. Interests shall not receive any distribution on account of their Unit Corp. Interests; provided, however, that each Holder of a Unit Corp. Interest that does not elect the Release Opt-Out on its Release Opt-Out Form shall receive its Pro Rata share of the Warrant Package. See the Company's Amended Joint Chapter 11 Plan of Reorganization for more details.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-7760800.

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<sup>1</sup> See *e.g., In re: Unit Corporation, et al.*, Case No. 20-32740 (DRJ) (Jointly Administered) Amended Joint Chapter 11 Plan of Reorganization of Unit Corporation and its Debtor Affiliates.