



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #22-23) 06/16/202 Tricida Inc. (TCDAQ)

Notice has been received that the above Company's Fifth Amended Chapter 11 Plan of Liquidation became effective on 06/12/2023. Pursuant to the plan, On the Effective Date, all Interests shall be canceled, released, and extinguished, and will be of no further force or effect, and Holders of such Interests shall not receive any distributions under the Plan on account of such Interest.

Class 3 Noteholder Claims. On the Effective Date, Noteholder Claims shall be deemed Allowed in the aggregate amount of \$201,088,888.89, and except to the extent that a Holder of a Noteholder Claim and the Debtor agree to less favorable treatment for such Holder, in full and final satisfaction of the Allowed Noteholder Claim, each Holder thereof will: (i) be paid in Cash on the Effective Date or as soon as reasonably practicable thereafter, its Noteholder Effective Date Distribution; (ii) receive its pro rata right to recovery from the Liquidating Trust pursuant to the Liquidating Trust Waterfall; and (iii) receive its pro rata right to recovery from the Contingent Payments Holding Trust. Please consult the Company's Fifth Amended Chapter 11 Plan of Liquidation for further details.¹

CUSIP	Description	Coupon	Pro-Rata Amount Per \$1,000 Principal on Initial Distribution
89610FAB7	Senior Unsecured Bond	3.50	Approximately 0.084
89610FAA9	Senior Unsecured Bond	3.50	Approximately 0.084

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-7760800.

¹ See e.g., In re: Tricida Inc., et al., Debtors. Chapter 11 Case No. 23-10024 Company's Fifth Amended Chapter 11 Plan Of Liquidation