



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY UPC #26-23 7/24/2023**  
**Qualtek Services Inc. (QTEKQ), (QTEWQ)**

Notice has been received that the above Company's Debtors' Joint Plan of Reorganization became effective on 07/14/2023. Pursuant to the plan, On the Effective Date, all Equity Interests will be cancelled, released, and extinguished and will be of no further force or effect, and Holders of Equity Interests will not receive any distribution on account of such Equity Interests. Please consult the company's Debtors' Joint Plan of Reorganization for further details.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-7760800.

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<sup>1</sup> See e.g., *In re: Qualtek Services Inc., et al* Case No. 23-90584 (CML) (Jointly Administered) Debtors Joint Plan of Reorganization Pursuant to Chapter 11 of the Bankruptcy Code.