



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #38-23) 09/06/2023 Boxed Inc
(BOXWQ, BOXDQ)**

Notice has been received that the above Company's Second Amended Combined Joint Chapter 11 Plan of Liquidation and Disclosure Statement became effective on 9/1/2023. Interests in Boxed, Inc. will be canceled, released, and extinguished as of the Effective Date, and will be of no further force or effect, and Holders of Interests in Boxed, Inc. will not receive any distribution on account of such Interests in Boxed, Inc. See the Company's Second Amended Combined Joint Chapter 11 Plan of Liquidation and Disclosure Statement for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist of a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., In re: Boxed, Inc, *et al.*, Debtors. Chapter 11 Case No. 23-10397 (BLS) (Jointly Administered) Second Amended Combined Joint Chapter 11 Plan of Liquidation and Disclosure Statement of Boxed, Inc. and its Debtor Affiliates.