# Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC \#08-24) 02/22/2024 IronNet, Inc. (IRNTQ, IRNWQ) 

Notice has been received that the above Company's Amended Joint Chapter 11 Plan of Reorganization has become effective on 02/21/2024. Pursuant to the plan, On the Plan Effective Date, each holder of an allowed equity interest in IronNet shall receive, in full and final satisfaction of its Allowed Equity Interest in IronNet, In the event of a Restructuring, Equity Interests in IronNet shall receive no distribution under the Plan, and all Equity Interests in IronNet shall be released, discharged, and extinguished, as the case may be, and shall be of no further force or effect, and such Holder shall receive no recovery on account of such Allowed Equity Interest in IronNet. See the Company's Amended Joint Chapter 11 Plan of Reorganization. ${ }^{1}$

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations - 1-866-776-0800.

[^0]
[^0]:    ${ }^{1}$ See e.g., In re: IronNet, Inc., et al., Debtors. Chapter 11 Case No. 23-11710 (BLS) (Jointly Administered) Amended Joint Chapter 11 Plan of Reorganization of IronNet, Inc. and its Debtor Affiliates Under Chapter 11 of the Bankruptcy Code.

