

### ATS FIRMS AS OF JUNE 6, 2014

ATS ID	FIRM NAME	ATS NAME
AQUA	AQUA SECURITIES L.P.	AQUA
ARCA	ARCHIPELAGO TRADING SERVICES, INC.	GLOBAL OTC
LATS	BARCLAYS CAPITAL INC.	BARCLAYS ATS ("LX")
LEHM	BARCLAYS CAPITAL INC.	BARCLAYS DIRECTEX
BIDS	BIDS TRADING L.P.	
BOOK	BLOOMBERG TRADEBOOK LLC	
BRLQ	BRILLIQUID LLC	
APOG	CITADEL SECURITIES LLC	APOGEE
CXCX	CITIGROUP GLOBAL MARKETS INC.	CITI CROSS
LQFI	CITIGROUP GLOBAL MARKETS INC.	LIQUIFI
NYFX	CONVERGEX EXECUTION SOLUTIONS LLC	MILLENNIUM
VRTX	CONVERGEX EXECUTION SOLUTIONS LLC	VORTEX
CROS	CREDIT SUISSE SECURITIES (USA) LLC	CROSSFINDER
LTPL	CREDIT SUISSE SECURITIES (USA) LLC	LIGHT POOL
CURX	CURIAN CLEARING, LLC	
DLTA	DEALERWEB INC.	
DBAX	DEUTSCHE BANK SECURITIES INC.	SUPERX
FTEX	FANTEX BROKERAGE SERVICES, LLC	FANTEX ATS
FNCA	FNC AG STOCK, LLC	
FNBR	FOLIOFN INVESTMENTS, INC.	
SGMA	GOLDMAN SACHS EXECUTION & CLEARING, L.P.	SIGMA X
IEXG	IEX SERVICES LLC	IEX
ICBX	INSTINET, LLC	
INCA	INSTINET, LLC	
IATS	INTERACTIVE BROKERS LLC	IBKR ATS
ITGP	ITG INC.	POSIT
JPMX	J.P. MORGAN SECURITIES LLC	JPM-X
JEFX	JEFFERIES EXECUTION SERVICES, INC.	
KCGB	KCG AMERICAS LLC	GETMATCHED
KCGM	KCG AMERICAS LLC	KNIGHT MATCH
FLOW	LAVAFLOW, INC.	LAVAFLOW ECN
EBXL	LEVEL ATS	LEVEL ATS
LQNA	LIQUIDNET, INC.	LIQUIDNET H2O
LQNT	LIQUIDNET, INC.	LIQUIDNET ATS
MPSP	MARCO POLO SECURITIES INC.	
MLIX	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	INSTINCT X
MLVX	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	INSTINCT VWAP CROSS
MSPL	MORGAN STANLEY & CO. LLC	MS POOL (ATS-4)
MSRP	MORGAN STANLEY & CO. LLC	MS RETAIL POOL (ATS-6)
MSTX	MORGAN STANLEY & CO. LLC	MS TRAJECTORY CROSS (ATS-1)
XSTM	NATIONAL FINANCIAL SERVICES LLC	
OTCR	OTC LINK LLC	OTC LINK ATS
PDQM	PDQ ATS, INC.	PDQ ATS
PROS	PRO SECURITIES, L.L.C.	PRO SECURITIES ATS
RCSL	RIVER CROSS SECURITES, LLLP	RIVERCROSS
PULX	STATE STREET GLOBAL MARKETS, LLC	BLOCKCROSS
TSBX	TRIPLESHOT	

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UBSA	UBS SECURITIES LLC	
VIAT	VARIABLE INVESTMENT ADVISORS, INC.	AGSTOCKTRADE.COM
VATS	VEGA-CHI US LIMITED	
VENO	VENOVATE MARKETPLACE, INC.	
WDNX	WEEDEN & CO.L.P.	XE
WELX	WELLS FARGO SECURITIES, LLC	