

DESCRIPTION	IMPACTED AREA	COMMUNITY
BrokerCheck		
BrokerCheck will include information about member firms and their associated persons of any registered national securities exchange that use the Central Registration Depository (CRD®) system for registration purposes. (i.e., non-member FINRA firms and associated individuals).	BrokerCheck Scope Rules	Public Investors
BrokerCheck will permanently include information about former associated persons of Broker-Dealer firms who were registered on or after August 16, 1999, and who have been the subject of an investment-related civil action brought by a state or foreign financial regulatory authority that was dismissed pursuant to a settlement agreement.	BrokerCheck Scope Rules	Public Investors
Web CRD		
Users will no longer receive an error when viewing historical BR filings in redline mode. This change is specific to filings where answers in Section 4 of the Form BR were provided.	Branch Information	FINRA, Firms
Firm Current Individual Deficiencies Queue default date range extended from 60 to 120 days.	Deficiencies Queue	Firms
Investment Adviser Public Disclosure (IAPD)		
IAPD will permanently include information about former investment adviser representatives who have been the subject of an investment-related civil action brought by a state or foreign financial regulatory authority that was dismissed pursuant to a settlement agreement.	IAPD Scope Rules	Public Investors