



October is
FINRA Mediation
Settlement Month

Looking for closure?

FINRA Dispute Resolution

For matters in the state of
Florida and Puerto Rico,
contact:

Leon de Leon
Leon.Deleon@finra.org
(561) 447-4917

For matters in the state of
New York, contact:

Edward Sihaga
Edward.Sihaga@finra.org
(212) 858-4359

For all other locations,
contact:

Rosari Domenick
Rosari.Domenick@finra.org
(312) 899-4442

FINRA's mediation program results in settlements more than 80 percent of the time.

Choose FINRA mediation for:

- **Time and cost savings**— Settlement is generally swift, and fees and expenses are modest.
- **Control**— Parties control the outcome.
- **Successful resolution**— FINRA mediators settle four out of every five cases.
- **Quality**— Parties choose the mediator from a select roster of skilled and experienced FINRA mediators.

Save on FINRA mediation during October:

- Cases with an amount in controversy up to \$25,000 are only \$100/party for a four-hour mediation.
- Cases with an amount in controversy from \$25,000.01 to \$100,000 are only \$200/party for a four-hour mediation.
- Cases with an amount in controversy over \$100,000 are only \$500/party for a eight-hour mediation.
- FINRA administrative filing fees also apply, but are reduced by 50 percent during Settlement Month.

Parties need to agree to mediate by October 31 and hold their mediation by December 31 to qualify.

FINRA Mediation Settlement Month is coming soon, so reserve your date early. Visit our Web site at www.finra.org/ArbitrationMediation.



Financial Industry Regulatory Authority