

NOTICE TO FINRA ARBITRATORS AND MEDIATORS

DIRECT DEPOSIT NOW AVAILABLE

Dear FINRA Arbitrator or Mediator:

You can now arrange with FINRA to have your fees and/or expense reimbursements directly deposited in your personal checking account.

YOUR PARTICIPATION IN THE DIRECT DEPOSIT PROGRAM IS VOLUNTARY

At your discretion, you may authorize FINRA to deposit funds to your personal checking account. (Payments may NOT be directed to a personal business or company account, or a personal savings account, or to the account of the neutral's firm or employer.¹) In authorizing FINRA to deposit funds to your personal checking account, you must also authorize FINRA to debit your personal checking account in the event FINRA erroneously deposits funds into your account. The amount debited will not exceed the original amount of the erroneous credit.

You will need to complete a **NON-EMPLOYEE DIRECT DEPOSIT FORM** to take advantage of this benefit. You can acquire this form from our Web site at:

http://www.finra.org/arbitration_mediation/directdeposit

Alternatively, you can obtain the form by contacting Catina Daniels, Supervisor, Department of Neutral Management, at 212-858-4351.

(over)

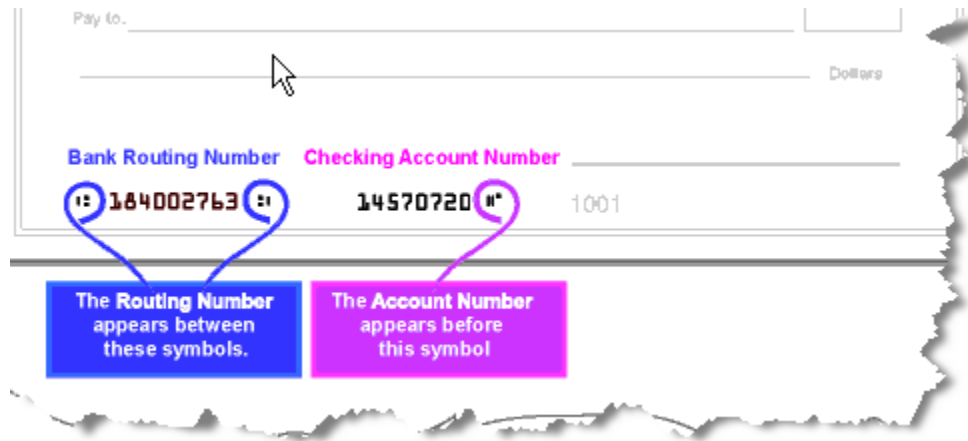
¹ Neutrals who choose to or are required by the firm to have checks sent to a firm account are not eligible to use direct deposit.

To complete the form, you must provide FINRA with the following three items:

Personal bank account number.

Personal bank account transit aba number.

A voided check for the selected account/financial institution.



Return the completed form and a voided check to:

FINRA Dispute Resolution
One Liberty Plaza
165 Broadway 27th floor
New York, New York 10006
Attention: Ms. Catina Daniels

Thank you for your interest in serving FINRA and the investing public.

Very truly yours,

Barbara L. Brady
Vice President
Director of Neutral Management