

Attachment B
to
Academic Corporate Bond TRACE Data Agreement

1. ACADEMIC INSTITUTION INFORMATION

Academic Institution:	
City/State/ZIP/Country:	
Primary Contact:	
Primary Contact Phone:	
Primary Contact Email	

2. DATA SETS

Please select the requested calendar year(s):

Data received will be at least 36 months aged (per FINRA Rule 7730)

<input type="checkbox"/> 2002/2003*	<input type="checkbox"/> 2008	<input type="checkbox"/> 2013
<input type="checkbox"/> 2004	<input type="checkbox"/> 2009	<input type="checkbox"/> 2014
<input type="checkbox"/> 2005	<input type="checkbox"/> 2010	<input type="checkbox"/> 2015
<input type="checkbox"/> 2006	<input type="checkbox"/> 2011	
<input type="checkbox"/> 2007	<input type="checkbox"/> 2012	

**2002 and 2003 Calendar Years are packaged together (per FINRA Rule 7730)*

The undersigned certifies that (1) he/she is authorized to sign this Attachment B on behalf of Academic Institution and (2) the information provided on this Attachment B is complete and accurate.

Signature: _____ Name: _____

Date: _____ Title: _____