



Cybersecurity Webinar: Panelist Biographies

William Edwards is a Director for Pershing LLC, a BNY Mellon company, where he is responsible for information security. In this role, he oversees Pershing's Information Security Program which includes both the client-facing and internal information security areas.

Prior to joining Pershing in 2013, Bill was the Chief Information Security Officer and principal consultant for Vigilant, an information technology risk and security management consulting firm that specialized in security information and event management solution integration for Fortune 1000 companies. Prior to Vigilant, he was the Chief Security Officer for TD Ameritrade, Ameritrade and Datek Online. Earlier in his career, Bill was a technical consultant with ADP. He brings more than 25 years of experience to Pershing.

Chip Jones is the Senior Vice President of Member Relations and Education for FINRA. In leading the Member Relations and Education Department, Chips' responsibilities include maintaining and enhancing open and effective dialog with FINRA member firms. Chip also oversees FINRA's Member Education area, which includes FINRA conferences and other member firm educational offerings such as the FINRA Institute at Wharton for the Certified Regulatory and Compliance Professional (CRCP) designation. In addition, Chip oversees the FINRA Compliance Resource Provider Program, where FINRA works with companies that offer compliance-related products and services to regulated firms at negotiated discounts. Prior to joining FINRA, Chip spent six years as Vice President of Regulatory and Industry Affairs at American Express Financial Advisors (AEFA). Previous to AEFA, he spent two years as Advocacy Administrator for the Association for Investment Management and Research (AIMR). Chip was employed by the Virginia Securities Division as a senior examiner/investigator for more than six years prior to joining AIMR. He received a master's degree in business administration and a bachelor's degree from Radford University in Radford, Virginia.

Daniel Sibears is an Executive Vice President and lawyer at FINRA where he is responsible for the Regulatory Operations/Shared Services Department. Dan's legal and management experience includes private practice, the Michigan Court of Appeals, the U.S. Securities & Exchange Commission, the National Association of Securities Dealers (NASD) and the Financial Industry Regulatory Authority (FINRA).

Upon joining NASD, Dan created and led the national enforcement program and the market surveillance legal function. Dan then developed and led a Regulatory Policy team. With the founding of NASD Regulation, Dan led the development of another new group known as the District Oversight Department that focused on uniformity, consistency and quality assurance for the regulatory programs administered by NASD's network of district offices. Next, Dan was named the first Deputy responsible for Member Regulation—NASD's largest examination function. In this role, Dan was responsible for the operations of Member Regulation.

With the integration of NASD and NYSE Regulation, Dan formed, and has led for several years, the Shared Services Department within FINRA's Regulatory Operations. The units within Shared Services include: Non-Disciplinary Litigation, Regulatory Programs, the Risk Office, Fixed Income Regulation, Sales Practice Policy, Oversight Liaison & Counsel, Regulatory Training, Business Solutions and International. In his role, Dan also partnered with FINRA's Office of General Counsel on the rulebook consolidation initiative that combined the NASD and NYSE Regulation rules, interpretations and guidance.

Dan was a leader in the creation of the securities industry continuing education program, as well as the FINRA Institute at Wharton. Dan holds a Certified Regulatory Compliance Professional credential from Wharton and serves as an instructor for the FINRA Institute at Wharton. Dan is the former Chairman of the Board of Trustees for the National Endowment for Financial Education.

Michelle Wraight is Chief Privacy Officer at Pershing LLC, a BNY Mellon Company, with enterprise responsibilities for managing the privacy and data protection program at the firm. She has been with Pershing for 6 years.

Michelle has more than 20 years of experience in the Information Security and Data Protection field, having worked in both the pharmaceutical and financial industries. Prior to her current position with the Pershing Privacy team, Michelle was the Information Security Officer at Pershing Managed Account Solutions. Michelle has also held positions of increasing responsibility in the pharmaceutical industry, leading several Information Security teams.

Michelle holds a bachelor's degree in information technology, is a member of the FBI Infragard and DSAC Programs, the International Association of Privacy Professionals and has achieved CISM (Certified Information Security Manager) and CRISC (Certified in Risk and Information Systems Control) industry certifications.