

! INVESTOR ALERTS



Understanding Order Types Can Save Time and Money

When you place an order to buy or sell securities, you may get more—or less—than you bargained for.

[Understanding](#) the benefits and risks of various types of orders can help you avoid unintended losses and better ensure your trades are executed in a timely manner and at a price with which you are comfortable.



Boiler Room Alert: If You Get a Call Like This, Don't Bite—and Don't Buy

Boiler room-style calls are characterized by high-pressure sales pitches from people who call you out of the blue, working from a list or simply dialing from a phone book. FINRA has issued [this alert](#) because we have seen an increase in aggressive calls touting the next hot stock. Callers tend to target seniors, and have been all-too successful in conning people of all ages into buying penny stocks and other speculative investments.

Recent Alerts

[Understanding Order Types Can Save Time and Money](#)

[Boiler Room Alert: If You Get a Call Like This, Don't Bite—and Don't Buy](#)

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Our Story



Want to learn more about FINRA? [Watch employees talk about who we are and what we do.](#)

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Investment Scams Follow in the Wake of Natural Disasters

Millions of concerned people from around the world respond to natural disasters—like the flooding in Louisiana—with an outpouring of relief and generosity. Some, however, seek to use floods, tornadoes, earthquakes and other natural disasters for their own benefit, and at the expense of unsuspecting investors. The U.S. Securities and Exchange Commission recently updated their [alert](#) on scams related to natural disasters. You can find more tips on [how to](#)

avoid scams looking to exploit your good intentions in our investor alert, and in The Alert Investor's article, [Profiting Off Disaster](#).

WHAT'S TRENDING



A Millennial's Guide to Financial Capability

Millennials have their [financial work](#) cut out for them. Compared to older generations, America's younger adults (those aged 18 - 34) are more financially vulnerable and face greater financial challenges. That's the less-than-rosy picture painted by findings from the National Financial Capability Study (NFCS), released in July by the FINRA Investor Education Foundation.



Planning for Retirement? These Numbers Really Matter

Age may be "nothing but a number," but when it comes to retirement planning, some of those numbers are very important. Here are a few [age milestones](#) that it pays to be aware of, whether you are already retired, or charting your retirement course.



Podcast: Single Payment Car-Title Loans Can Take You for a Ride

According to a report by the Consumer Financial Protection Bureau (CFPB), one in five borrowers from auto title loan companies have their vehicles seized when they fall behind on repayment of their loans. The CFPB report illustrates just how difficult it is for many borrowers to avoid getting caught in the title-loan trap.

[Listen](#) | 4 min. 33 sec.

FINRA NEWS

8/11/16 [Military Spouses Receive Fellowships to Conduct Financial Counseling](#)

8/8/16 [FINRA Fines Deutsche Bank Securities Inc. \\$12.5 Million for Inadequate Supervision of Internal Communications](#)

8/3/16 [FINRA Fines Barclays Capital Inc. \\$1.3 Million for Extensive OATS Reporting Violations and Related Supervisory Failures](#)

8/1/16 FINRA Files Complaint Charging Broker With Fraudulently and Excessively Trading Accounts of Elderly, Blind Widow

Helpful Tools

-  [FINRA BrokerCheck](#)
-  [529 College Savings Plan Expense Analyzer](#)
-  [Retirement Calculator](#)
-  [Fund Analyzer](#)
-  [Investor Complaint Center](#)
-  [Loan Calculator](#)
-  [Risk Meter](#)
-  [Market Data Center](#)
-  [Securities Helpline for Seniors](#)
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