

! INVESTOR ALERTS



Updated: Customer Advisory Centers—What Investors Need to Know

A [customer advisory center](#) is staffed by securities professionals who may provide financial planning services, sell securities products, and receive commissions or other financial incentives for doing so. These centers have become common and, in some instances, can be sales-oriented.



Inherited IRAs—What You Need to Know

This [alert](#) informs brokerage account holders, family members and other beneficiaries about inherited IRAs. It also provide tips for making the IRA inheritance process as efficient and trouble-free as possible.

Recent Alert

[Public Non-Traded REITs—Perform a Careful Review Before Investing](#)

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Our Story



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WHAT'S TRENDING



Key Concepts: Return and Rate of Return

Investment return is the money you make or lose on an investment. Ideally, your return will be positive: your initial investment will remain intact and you'll end up with more money than you invested. But your rate of return—which you figure out by dividing the gain by the amount you invest—is different. [Learn more.](#)



Are Your Investments Ready for the New Year? FINRA Offers 7 Tips for 2017

These [7 tips](#) from FINRA can help you plan, stay abreast of changes in the market and their impact on your portfolio, and protect your investments in 2017.



Cost Basis Basics—Here's What You Need to Know

Selling an investment typically has tax consequences. To figure out whether you need to report a gain—or can claim a loss—after you sell, you need to know the [cost basis](#) for that investment.



FINRA NEWS

[1/18/16 FINRA Reports on Potential Implications of Blockchain; Seeks Comment](#)

[12/21/16 FINRA Fines 12 Firms a Total of \\$14.4 Million for Failing to Protect Records From Alteration](#)

Helpful Tools



FINRA
BrokerCheck



529 College
Savings Plan
Expense
Analyzer



Retirement
Calculator



Fund Analyzer



Investor
Complaint
Center



Loan Calculator



Risk Meter



Market Data
Center



Securities
Helpline for
Seniors



Scam Meter



Required
Minimum
Distribution
Calculator



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