

Attachment D

FP–SD Schedule

NOTE: FINRA MAY PUBLICLY DISCLOSE THE INFORMATION PROVIDED ON THIS PAGE

Full Name of Funding Portal

SEC File Number

Statutory Disqualification Information

Indicate below whether this Funding Portal or any persons associated with this Funding Portal are subject to statutory disqualification as described in Securities Exchange Act (“SEA”) Section 3(a)(39).

- Neither this Funding Portal nor any of its associated persons are subject to a statutory disqualification as described in SEA Section 3(a)(39)
- This Funding Portal or one of its associated persons is subject to a statutory disqualification as described in SEA Section 3(a)(39) *(provide additional information below)*

Name	CRD Number (if applicable)	Event	Date of Event	Role with Funding Portal

(If additional space is needed, please submit a separate document as an additional attachment containing the information.)

[Attach](#) documentation (e.g., copy of court order, written description) regarding each event resulting in a statutory disqualification.

Designated Signatory

I hereby certify under penalty of perjury under the laws of the United States of America, 18 U.S.C. § 1746, that the foregoing information provided by me on behalf of the Funding Portal is true and correct to the best of my knowledge, information, and belief and that I am legally authorized to make the foregoing certification on behalf of the Funding Portal.

Executed on: _____, 20____.

Executive Officer Signature: _____

Print Name: _____