

- Global Investment Securities
- Bond and Stock Underwriters
- Insurance Services
- Commodities and Futures

Office of the Corporate Secretary-Admin.

**Roberts & Ryan
Investments Inc.**

Member: NASD, SIPC, MSRB, NFA

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FINRA
Notice to Members

February 25, 2009

Ms. Marcia E. Asquith
Office of the Corporate Secretary
Finra
1735k Street, NW
Washington, DC 20006-1506

Re: MTN 09-06: Retail Forex Deposits


This firm carried an NFA liscense for some ten years as well as the Finra membership which we continue to hold. Thus we are familiar with commodities, futures and securities trading.

In absence of overt actions which force Finra staff to forclose lines of business to NFA/BD firm, Finra should not try to limit our ability to satisfy bonifide investor demands. Our firm has served the investment needs of institutional and retail clients for some 21 years. We survive in difficult time because we have flexibility to offer a broad range of investment solutions tailored to specific investor needs, included at times Forex, commodities and future contracts.

Please be reminded that the performance deposit placed with a futures firm is not a Treasury regulation T margin call. Please do not try to apply security margin requirements (50% or higher) to a futures contract with a performance deposit of some 10%. Should you do so, you will shut the door for BD/NFA firms to offer competitive Forex contracts to its clients.

Because Finra is a membership based organization, Finra should not use its time and treasure to reduce business opportunities for its member firms, absent compelling causes.

Regards,


Daniel W. Roberts
President

Roberts & Ryan Investments Inc.
57 Post Street, Suite 614, San Francisco, CA 94104
415-956-2000 800-675-6717 Fax 415-296-8873
A Service Disabled Veteran Enterprise