

NASD Notice to Members 99-22

Maximum SOES Order
Sizes Set To Change
April 1, 1999

Suggested Routing

- ☐ Senior Management
- ☐ Advertising
- ☐ Continuing Education
- ☐ Corporate Finance
- ☐ Executive Representatives
- ☐ Government Securities
- ☐ Institutional
- ☐ Insurance
- ☐ Internal Audit
- ☒ Legal & Compliance
- ☐ Municipal
- ☐ Mutual Fund
- ☒ Operations
- ☐ Options
- ☐ Registered Representatives
- ☐ Registration
- ☐ Research
- ☐ Syndicate
- ☒ Systems
- ☒ Trading
- ☐ Training
- ☐ Variable Contracts

Executive Summary

Effective April 1, 1999, the maximum Small Order Execution SystemSM (SOESSM) order sizes for 383 Nasdaq National Market[®] (NNM) securities will be revised in accordance with National Association of Securities Dealers, Inc. (NASD[®]) Rule 4710(g).

For more information, please contact Nasdaq[®] Market Operations at (203) 378-0284.

Description

Under Rule 4710, the maximum SOES order size for an NNM security is 1,000, 500, or 200 shares, depending on the trading characteristics of the security. The Nasdaq Workstation II[®] (NWII) indicates the maximum SOES order size for each NNM security. The indicator "NM10," "NM5," or "NM2" displayed in NWII corresponds to a maximum SOES order size of 1,000, 500, or 200 shares, respectively.¹

The criteria for establishing maximum SOES order sizes are as follows:

- (1) a 1,000-share maximum order size shall apply to NNM securities on SOES with an average daily non-block volume of 3,000 shares or more a day, a bid price of less than or equal to \$100, and three or more Market Makers;
- (2) a 500-share maximum order size shall apply to NNM securities on SOES with an average daily non-block volume of 1,000 shares or more a day, a bid price of less than or equal to \$150, and two or more Market Makers; and
- (3) a 200-share maximum order size shall apply to NNM securities with an average daily non-block volume of less than 1,000 shares a day, a bid price of less than or equal to

\$250, and two or more Market Makers.

In accordance with Rule 4710, Nasdaq periodically reviews the maximum SOES order size applicable to each NNM security to determine if the trading characteristics of the issue have changed so as to warrant an adjustment. Such a review was conducted using data as of December 31, 1998, pursuant to the aforementioned standards. The maximum SOES order-size changes called for by this review are being implemented with three exceptions.

- First, issues were not permitted to move more than one size level. For example, if an issue was previously categorized in the 1,000-share level, it would not be permitted to move to the 200-share level, even if the formula calculated that such a move was warranted. The issue could move only one level to the 500-share level as a result of any single review.
- Second, for securities priced below \$1 where the reranking called for a reduction in the level, the maximum SOES order size was not reduced.
- Third, for the top 50 Nasdaq securities based on market capitalization, the maximum SOES order sizes were not reduced, regardless of whether the reranking called for a reduction.

In addition, with respect to initial public offerings (IPOs), the SOES order-size reranking procedures provide that a security must first be traded on Nasdaq for at least 45 days before it is eligible to be reclassified.

Thus, IPOs listed on Nasdaq within the 45 days prior to December 31, 1998, were not subject to SOES order-size reranking procedures.

Following is a listing of the 383 NNM issues that will have the maximum SOES order size changed on April 1, 1999.

Endnote

¹ Previously, Nasdaq Market Makers were required to maintain a minimum quotation

size for an NNM security in an amount equal to the maximum SOES order size for that security. See generally, NASD Rule 4613(a)(1) - (2). On July 15, 1998, the Securities and Exchange Commission approved an amendment to NASD Rule 4613(a)(1)(C), which reduced the minimum quotation size for all Nasdaq securities to one normal trad-

ing unit when a Market Maker is not displaying a limit order, and which thus eliminated the requirement that Market Makers quote a size equal to the maximum SOES order size.

© 1999, National Association of Securities Dealers, Inc. (NASD). All rights reserved.

Maximum SOES Order Size Changes In NNM Securities All Issues In Alphabetical Order By Security Name (Effective April 1, 1999)

Symbol	Security Name	Old Level	New Level	Symbol	Security Name	Old Level	New Level
SRCEP	1ST SOURCE CAP I P	500	200	BMCCP	BANDO MCGLOC PFD A	200	500
TCHC	21ST CENTURY HLDG	200	500	BNSC	BANK OF SANTA CLAR	200	500
TFSM	24/7 MEDIA INC	500	1000	BWFC	BANK WEST FIN CORP	500	1000
A				BKFR	BANKFIRST CORP	200	500
ACLNF	A C L N LIMITED	500	1000	BAYB	BAY BANCSHARES	1000	500
ABGX	ABGENIX INC	500	1000	BCSB	BCSB BANKCORP	500	1000
ACTU	ACTUATE SOFTWARE	500	1000	BEBE	BEBE STORES INC	500	1000
ADGO	ADAMS GOLF INC	500	1000	BNHNA	BENIHANA INC A	500	1000
AAABB	ADMIRALTY BCP B	200	500	BYND	BEYOND.COM CORP	500	1000
ALGX	ALLEGIANCE TELECOM	500	1000	BEERF	BIG ROCK BREWERY LTD	200	500
ALLN	ALLIN COMMUNICATION	1000	500	BVEW	BINDVIEW DEV CORP	500	1000
AHAA	ALPHA INDS INC	500	1000	RINO	BLUE RHINO CORP	500	1000
AIRS	AMERICAN AIRCARRIE	500	1000	BOGN	BOGEN COMMUN INT	500	1000
AXTI	AMERICAN XTAL TECH	500	1000	BOGNW	BOGEN COMMUN WT	500	1000
AMPI	AMPLICON INC	1000	500	BORAY	BORAL LTD ADS	500	200
AFSC	ANCHOR FIN CORP	500	1000	BPFH	BOSTON PVT FIN	1000	500
ANDR	ANDERSEN GROUP INC	500	200	BOYD	BOYD BROS TRANS IN	1000	500
ANSR	ANSWERTHINK CONS	500	1000	BNBC	BROAD NATL BNCP	500	1000
ASYCF	ARCHITEL SYST CORP	500	1000	BCST	BROADCAST.COM	500	1000
ARSCW	ARIS CORP WTS	500	1000	BRCM	BROADCOM CORP CL A	1000	500
ASYM	ASYMETRIX LEARNING	500	1000	C			
ATPC	ATHEY PRODUCTS CP	1000	500	CBBI	C B BANCSHARES	1000	500
ADSC	ATLANTIC DATA SVCS	500	1000	CEMX	C E M CP	1000	500
AIII	AUTOLOGIC INFO INT	500	1000	CERB	C E R B C O INC	1000	500
AXHM	AXIOHM TRANS SOL	200	500	CFCI	C F C INTL INC	500	1000
AZTC	AZTEC TECH PTNRS	500	1000	FLYAF	C H C HELICO CL A	500	200
B				CNBF	C N B FINANCIAL CP	1000	500
BESIF	B E SEMICON ORD SHRS	200	500	CRHCY	C R H PLC ADR	500	1000
BFEN	B F ENTERPRISES IN	200	500	CSPI	C S P INC	1000	500
BHAG	B H A GP HLDGS	500	1000	CIBN	CALIFORNIA IND BNC	200	500
BNBCP	B N B CAP TR PFD	500	200	CNEBF	CALL-NET ENTRPR CL B	200	500
BBAR	BALANCE BAR CO	500	1000	CNTL	CANTEL INDS INC	1000	500
BPAO	BALDWIN PIANO ORGA	500	1000	CCBG	CAPITAL CITY BANK	1000	500
				CSWC	CAPITAL SOUTHWEST	500	1000
				CPRK	CAPROCK COMM	200	500
				CANI	CARREKER-ANTINORI	500	1000

Symbol	Security Name	Old Level	New Level	Symbol	Security Name	Old Level	New Level
CRRB	CARROLLTON BANCORP	200	500	E			
CASA	CASA OLE' RESTRS I	1000	500	EGLB	EAGLE BANCGROUP IN	500	1000
CECX	CASTLE ENERGY CP	1000	500	EWBX	EARTH WEB INC	200	500
CLPA	CELL PATHWAYS INC	200	500	ELON	ECHELON CORP	500	1000
CFAC	CENTRAL FIN ACCEPT	500	1000	ECLP	ECLIPSYS CORP	500	1000
CITZ	CFS BANCORP INC	500	1000	ELBO	ELECTRONICS BOUT	500	1000
CHERA	CHERRY CP CL A	1000	500	ENBRF	ENBRIDGE INC	500	200
CNBA	CHESTER BANCORP IN	1000	500	ENGEF	ENGEL GNRL DEV SE	500	1000
CITC	CITADEL COMMUN CP	500	1000	ENSR	ENSTAR INC	1000	500
CHCOP	CITY HLDG CAP TR	200	500	ENTU	ENTRUST TECHS INC	200	500
CIVC	CIVIC BANCORP	1000	500	EQSB	EQUITABLE FED SAV	500	1000
CLKB	CLARK/BARDES HLDGS	200	500	ESBF	ESB FINANCIAL	1000	500
CLRS	CLARUS CORP	500	1000	ESCA	ESCALADE INC	1000	500
CCHE	CLINICHEM A	500	1000	EMCC	EUROPEAN MICRO HLD	500	1000
CMGI	CMG INC	1000	500	EXCO	EXCO RESOURCES INC	200	500
CNYF	CNY FINANCIAL CP	200	500	F			
CTBP	COAST BANCORP	500	200	FMCO	F M S FINANCIAL CP	1000	500
CTSH	COGNIZANT TECH SOL	500	1000	FSBI	FIDELITY BANCORP I	200	500
CSO	COHESION TECHS	500	1000	FFFLP	FIDELITY CAP TR I	500	1000
CLTX	COLLATERAL THERAP	500	1000	FMST	FINISHMASTER INC	500	1000
COBZ	COLORADO BUS BCSHS	500	1000	FBSI	FIRST BANCSHARES I	500	200
CBBO	COLUMBIA BANCORP	200	500	FBCG	FIRST BKG CO SE GA	200	500
CFKY	COLUMBIA FIN KY	1000	500	BUSE	FIRST BUSEY CL A	200	500
CMTO	COM21 INC	500	1000	FFES	FIRST FED S L E.HT	500	1000
CLBK	COMMERCIAL BANKSHR	1000	500	THFF	FIRST FIN CP (IN)	1000	500
CFIC	COMMUNITY FIN CP	500	1000	FTFN	FIRST FIN CP (RI)	200	500
CFBC	COMMUNITY FIRST BN	1000	500	FFIN	FIRST FINL BKSHS I	500	1000
CDIR	CONCEPTS DIRECT	500	1000	FFHS	FIRST FRANKLIN CP	500	200
COOP	COOPERATIVE BKSHS	500	1000	FGHC	FIRST GEORG HLDGS	1000	500
COMMF	CORECOMM LTD	200	500	FKAN	FIRST KANSAS FIN	500	1000
DLVRY	CORTECS PLC ADS	500	1000	CASH	FIRST MIDWST FIN I	1000	500
CULS	COST-U-LESS INC	500	1000	FMSB	FIRST MUTUAL SVGS	1000	500
CRRC	COURIER CP	1000	500	FRGB	FIRST REGIONAL BNC	500	200
CYOE	COYOTE NETWORK SYS	200	500	FUNC	FIRST UNITED CORP	1000	500
CRDT	CREDITRUST CORP	500	1000	FLBK	FLORIDA BANKS INC	500	1000
CTBIP	CTBI PFD CAP TRUST	500	200	FCIN	FLOUR CITY INTL	500	1000
CMLS	CUMULUS MEDIA INC	500	1000	FKKY	FRANKFORT FRST	1000	500
CURTF	CURTIS INTL LTD	200	500	FSVBP	FRANKLIN FIN PD A	1000	500
COOL	CYBERIAN OUTPOST	500	1000	FREEY	FREEPAGES GR PLC ADR	200	500
D				FTBK	FRONTIER FIN CORP	1000	500
DECC	D & E COMMUNICATIO	500	1000	FFHH	FSF FINANCIAL CP	1000	500
DLTDF	DELPHI INTL LTD	200	500	FTNB	FULTON BANCORP INC	500	1000
DCBI	DELPHOS CITIZENS B	1000	500	G			
DCBK	DESERT COMMUNITY B	500	200	GLDBP	GBCI CAP TR PFD	200	500
DRIV	DIGITAL RIVER INC	500	1000	GFLSP	GCB CAP TRUST PFD	200	500
DOCDF	DOCDATA NV	500	1000	GENBB	GENESEE CP B	500	1000
DXCPO	DYNEX CAPITAL PFD B	500	1000				

Symbol	Security Name	Old Level	New Level	Symbol	Security Name	Old Level	New Level
GZMO	GENZYME MOLEC	200	500	IYCOY	ITO YOKADO CO ADR	500	1000
GCTY	GEOCITIES	500	1000	CMIV	IVI CHECKMATE CORP	500	1000
GSCI	GEOSCIENCE CP	1000	500	XOSY	IXOS SOFTWARE ADS	200	500
GIGX	GIGA INFO GROUP	500	1000				
GFCO	GLENWAY FIN CP	500	200	J			
GBLX	GLOBAL CROSSING	500	1000	JEFFP	J B I CAPITAL TR PFD	500	200
GISX	GLOBAL IMAGING SYS	500	1000	JPSP	JPS PACKAGING CO	500	1000
VINT	GOLDEN ST VINT B	500	1000	JPST	JPS TEXTILE GRP	500	1000
GNCNF	GORAN CAPITAL INC	500	1000				
GUCO	GRAND UNION CO	200	500	K			
GTPS	GREAT AMER BNCP IN	1000	500	KASP	KASPER ASL LTD	500	1000
GFLS	GREATER COMMUNITY	500	1000	KESI	KENTUCKY ELEC STEE	500	1000
H				KNAP	KNAPE AND VOGT MFG	1000	500
HPSC	H P S C INC	1000	500	NITE	KNIGHT/TRIMARK GR	500	1000
HACHA	HACH COMPANY CL A	500	1000	KOSS	KOSS CP	1000	500
HAHN	HAHN AUTOMOTIVE	200	500	L			
HCRC	HALLWOOD CONS RES	500	200	LXBK	L S B BANCSHARES N	1000	500
HNBC	HARLEYSVILLE NATL	500	1000	LABH	LAB HOLDINGS INC	1000	500
HFGI	HARRINGTON FIN GRP	1000	500	LAND	LANDAIR CORP	200	500
HAVA	HARVARD IND NEW	200	500	LARK	LANDMARK BSCHS INC	500	200
HAST	HASTINGS ENT INC	500	1000	LWIN	LEAP WIRELESS	200	500
HMLK	HEMLOCK FED FIN CO	1000	500	LGSAF	LGS GROUP CL A	200	500
HTBK	HERITAGE COMMERCE	500	200	LIBB	LIBERTY BANCORP	500	1000
HBNK	HIGHLAND BANCORP	500	200	LIQB	LIQUI BOX CP	500	1000
HORT	HINES HORTICULTURE	500	1000	LMIA	LMI AEROSPACE INC	500	1000
HOEN	HOENIG GP INC	1000	500	LONDY	LONDON INTL PLC ADR	200	500
HLGCF	HOLLINGER INC	500	200	LICB	LONG ISLAND FIN	500	1000
HEPH	HOLLIS-EDEN PHARM	500	1000	LSBI	LSB FINANCIAL CP	500	200
HOLO	HOLOPAK TECHS INC	500	1000	M			
HBFW	HOME BANCORP	1000	500	MFRI	M F R I INC	500	1000
HLFC	HOME LOAN FINL CP	500	1000	MLCH	M L C HOLDINGS INC	500	1000
HCAR	HOMETOWN AUTO CL A	500	1000	MKFCF	MACKENZIE FIN CP	500	200
HCOW	HORIZON ORGANIC HD	500	1000	MTLX	MARINE TRANSPORT	500	1000
HRBT	HUDSON RVR BNCP	500	1000	FMARP	MARINER CAP TR PFD	500	1000
I				MVII	MARK VII INC	1000	500
ICOGF	ICO GLOBAL COMM	500	1000	MARSA	MARSH SUPERMARKETS A	1000	500
IDGB	IDG BOOKS WRDLWIDE	500	1000	MARSB	MARSH SUPERMARKETS B	500	1000
INDBP	INDEP CAP TR I PFD	200	500	MASB	MASSBANK CP	500	1000
INDYY	INDEP ENERGY ADS	500	1000	MAGR	MASTER GRAPICS INC	500	1000
INHO	INDEPENDENCE HLDG	500	200	MATE	MATEWAN BCSHS INC	200	500
IHIIZ	INDUSTRIAL HLDG WT	200	500	MAXE	MAX ERMAS RESTR IN	1000	500
IMGK	INTERACTIVE MAGIC	500	1000	MAXC	MAXCO INC	500	1000
INTG	INTERGROUP CP THE	200	500	MXTR	MAXTOR CORP	500	1000
IPLY	INTERPLAY ENT CORP	500	1000	MFLR	MAYFLOWER CO OP BK	200	500
IVBK	INTERVISUAL BOOKS	500	1000	MDCA	MDC COMMUN CORP	200	500
ICUB	INTL INTEGRATION	500	1000				
ISKO	ISCO INC	500	1000				

Symbol	Security Name	Old Level	New Level	Symbol	Security Name	Old Level	New Level
MBIA	MERCHANTS BNCP IL	1000	500	PERM	PERMANENT BNCP INC	500	1000
MRET	MERIT HOLDING CP	1000	500	PHLYL	PHIL CONS GR PRIDE	500	200
METFP	METROPOLITAN CAP	1000	500	PILT	PILOT NETWORK SVC	500	1000
MSTR	MICROSTRATEGY INC	500	1000	PHFCP	PITT HOME CAP TR	200	500
MDST	MID-STATE BCSH	500	1000	POSIF	POINT OF SALE LTD	500	1000
MBSI	MILLER BUILDING SY	1000	500	PNTE	POINTE FINCL CORP	500	1000
MNMD	MINIMED INC	1000	500	BPOPP	POPULAR INC PFD A	500	200
MMAN	MINUTEMAN INTL INC	200	500	PLSIA	PREMIER LASER SY	500	1000
MIPS	MIPS TECHS INC	500	1000	PRENP	PRICE ENTERPR PFD	200	500
MCRI	MONARCH CASINO	500	1000	PSMT	PRICESMART INC	1000	500
MUEL	MUELLER PAUL CO	500	200	PDII	PROF DETAILING INC	500	1000
LABL	MULTI COLOR CP	500	1000	PRSP	PROSPERITY BNCSHS	200	500
N				PAMC	PROVIDENT AMER	200	500
NSDB	N S D BANCORP INC	200	500	PSBI	PSB BANCORP INC	500	1000
NSSC	NAPCO SEC SYS INC	1000	500	PULB	PULASKI FINL CORP	500	1000
NARA	NARA BANK N A	1000	500	PLFC	PULASKI FURNITURE	500	1000
NADX	NATL DENTEX CP	500	1000	Q			
NTOL	NATROL INC	500	1000	QCFB	Q C F BANCORP INC	500	200
FLYR	NAVIGANT INTL INC	500	1000	QLGC	QLOGIC CP	1000	500
NERAY	NERA AS ADR	500	1000	R			
NTBK	NET.BANK INC	200	500	RGFCP	R&G FIN CP PFD A	200	500
NETG	NETGRAVITY INC	500	1000	RAGS	RAG SHOPS INC	1000	500
NSOL	NETWORK SOLUTIONS	1000	500	RWKS	RAILWORKS CORP	500	1000
NBSC	NEW BRUNSWICK SCI	1000	500	RBOW	RAINBOW RENTALS	500	1000
NRTI	NOONEY REALTY TRUS	500	200	RDGE	READING ENT INC	500	1000
NSYS	NORTECH SYSTEMS IN	1000	500	RIGX	REALTY INFO GROUP	500	1000
NOVB	NORTH VALLEY BNCP	500	1000	REBC	REDWOOD EMPIRE BCP	200	500
NEIB	NORTHEAST IND BNCP	1000	500	RBCAA	REPUBLIC BCP CL A	500	1000
NSCF	NORTHSTAR COMPUTER	500	1000	RSTO	RESTORATION HARDWR	500	1000
TONSF	NOVAMERICAN STEEL	500	1000	RTSTD	RIGHT START INC (THE)	500	1000
O				RIFL	ROYAL PRECISION INC	500	1000
OLGR	OILGEAR CO	200	500	RUSMF	RUSSELL METALS	500	200
ODFL	OLD DOMINION FREIG	1000	500	S			
OLCWF	OLICOM A/S WTS	500	1000	SGVB	S G V BANCORP INC	500	200
FIBR	OSICOM TECH	500	1000	STVI	S T V GROUP INC	500	1000
OWOS	OWOSSO CP	500	1000	SAVB	SAVANNAH BNCP INC	500	200
P				SCCX	SCC COMMUNICATIONS	500	1000
PBCI	PAMRAPO BNCP INC	1000	500	SAVO	SCHULTZ SAV O STOR	500	1000
PGEOF	PARADIGM GEOPHYS	500	1000	STIZ	SCIENTIFIC TECH IN	500	1000
PCCIP	PCC CAPITAL I PFD	200	500	SCOT	SCOTT AND STRINGF	1000	500
PNNW	PENNICHUCK CP	200	500	SEWY	SEAWAY FOOD TOWN I	1000	500
PPCO	PENWEST PHARM	500	1000	SNFCA	SECURITY NATL FINL A	200	500
PSFC	PEOPLES-SIDNEY FIN	1000	500	SEVN	SEVENSON ENVIRONME	1000	500
PEBK	PEOPLES BANK	200	500	SFNCA	SIMMONS FIRST NATL A	1000	500
PEBO	PEOPLES BNCP INC	1000	500	SKYEY	SKYEPHARMA PLC	500	1000

Symbol	Security Name	Old Level	New Level	Symbol	Security Name	Old Level	New Level
SECBY	SOCIETE EUR ADS B	200	500	ULTI	ULTIMATE SOFTWARE	500	1000
SWRX	SOFTWARES INC	500	1000	UCFC	UNITED COMM FIN CP	500	1000
SOMR	SOMERSET GP INC TH	200	500	UNEWY	UNITED NEWS & MEDIA	500	200
SFFS	SOUND FED BANCORP	200	500	UNTY	UNITY BANCORP INC	200	500
SMBC	SOUTHERN MO BNCP I	1000	500				
OKSB	SOUTHWEST BNCP INC	1000	500	V			
SPZN	SPEIZMAN INDS INC	1000	500				
STHLY	STET HELL ADS	500	1000	VSEC	V S E CP	200	500
SCSAY	STOLT COMEX ADS	500	1000	VDRY	VACU DRY CO	200	500
SUBK	SUFFOLK BNCP	500	1000	VNGI	VALLEY NATL GASES	1000	500
SNBCP	SUN CAPITAL TR PFD	500	200	VALU	VALUE LINE INC	500	1000
SNBCO	SUN CAPITL TR II	200	500	VTRAO	VBC CAPITAL I CAP	500	200
SUNH	SUNDANCE HOMES INC	500	1000	VENT	VENTURIAN CP	500	1000
SNRS	SUNRISE TECHNOLOGIES	500	1000	VITX	VI TECHNOLOGIES	500	1000
SPPR	SUPERTEL HOSPITALI	500	1000	VLGEA	VILLAGE SUPER MKT A	1000	500
SIVBP	SVB CAPITAL I PFD	500	1000	VBNJ	VISTA BANCORP INC	1000	500
SVBF	SVB FIN SVCS INC	500	200				
SWMAY	SWEDISH MATCH AB ADR	500	1000	W			
SYNM	SYNTROLEUM CORP	500	1000				
T				WVFC	W V S FINANCIAL CP	1000	500
				WBCO	WASHINGTON BKG CO	500	1000
				WCNX	WASTE CONNECTIONS	500	1000
THRD	T F FINANCIAL CP	500	1000	WEFC	WELLS FINANCIAL CP	1000	500
TSATB	TCI SAT ENT SER B	200	500	WCSTF	WESCAST INDS INC A	200	500
TSRC	TECHNISOURCE INC	500	1000	WEBK	WEST ESSEX BANCORP	200	500
TBFC	TELEBANC FIN CP	500	1000	WOFC	WESTERN OHIO FIN	1000	500
TIWIF	TELESYSTEM INTL	500	1000	WTFCP	WINTRUST CAP PFD	200	500
TERN	TERAYON COMMUN SYS	200	500	WORK	WORKFLOW MGMT INC	500	1000
TGLO	THEGLOBE.COM INC	200	500	WHRTF	WORLD HEART CORP	500	1000
THTL	THISTLE GROUP HLDG	500	1000				
TWNE	TOWNE SVCS INC	500	1000	X			
TRKA	TRAK AUTO CP	500	1000				
TRED	TREADCO INC	500	1000	XCED	XCEED INC	500	1000
TBCOL	TRIATHALON BD DEP SH	500	200				
TFCO	TUFCO TECHS INC	500	1000	Z			
TWTR	TWEETER HOME ENT	500	1000				
U				ZVXI	ZEVEK INTL INC	200	500
UFPT	U F P TECH INC	500	1000				
UCBH	UCBH HOLDINGS INC	200	500				