



Financial Industry Regulatory Authority

Special Accommodations Eligibility Questionnaire

Member firms on behalf of their candidates with disabilities who are requesting accommodations for a FINRA examination/CE session must complete this form and return it with the **Special Accommodations Verification Form**.

I. Candidate Information

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Telephone Number () _____ E -mail Address: _____

Candidate CRD/ FINRA ID# _____ Last 4 of SSN: XXX-XX-_____

Exam/CE Series #: _____

Has the candidate received Accommodations from FINRA/NASD within the past 5 years? Yes/No

Specific accommodation(s) requested:

Paper & Pencil (Non-computerized) Exam ^ _____ Extra Time** _____ Minutes

Reader/Recorder^ _____

Other: Please specify _____

^ Upon contacting the testing vendor, please be advised that they require 10 business days to prepare for appointments scheduled with these accommodations.

Paper & Pencil Exam is not offered for CE sessions; option is for qualifying exams only. Please allow 5 business days after testing for your paper exam to be scored and posted to Web CRD.

** If extra time is selected, the specific amount of extra time requested is required. Please see the attachment for the standard examination and CE session lengths.

II. Firm Information – please cross out and write “keep confidential” if you do not want your firm to know about your disability and/or accommodations.

Firm Name _____ BD# _____

Registration/Compliance Officer Name: _____

Contact Email Address: _____ Telephone #: () _____

Contact Signature: _____ Date _____

Note: All requests take approximately 2-3 business days to process after which a confirmation email will be sent with the offered accommodations. All forms and supporting documentation may be faxed to FINRA at (202) 303-3901 or emailed to SARequest@finra.org.

If mailed, forms and documentation should be sent to the following address:

FINRA - Attention: Field Support Services
9509 Key West Avenue, 3rd Floor
Rockville, MD 20850

Personal Confidential Information

Examination & CE Session Lengths

Series/ Session	Examination/Session	Minutes
3	National Commodity Futures	150
4	Registered Options Principal	195
6	Investment Company Products/Variable Contracts Representative	135
7	General Securities Representative	360
9	General Securities Sales Supervisor Options Module	90
10	General Securities Sales Supervisor General Module	240
11	Assistant Representative-Order Processing	60
14	Compliance Officer	180
17	Limited Registered Representative	150
22	Direct Participation Programs Representative	135
23	General Securities Principal Sales Supervisor Module	150
24	General Securities Principal	210
26	Investment Company Products/Variable Contracts	150
27	Financial and Operations Principal	225
28	Introducing Broker/Dealer Financial and Operations Principal	120
30	NFA Branch Manager Examination	60
31	Futures Managed Funds Examination	60
32	Limited Futures Exam-Regulations	45
37	Canada Module of S7 [Options Required]	150
38	Canada Module of S7 [No Options Required]	75
39	Direct Participation Programs Principal	135
42	Registered Options Representative	90
51	Municipal Fund Securities Limited Principal	90
52	Municipal Securities Representative	210
53	Municipal Securities Principal	180
55	Limited Representative-Equity Trader Examination	180
56	Proprietary Trader Qualification Examination	150
62	Corporate Securities Limited Representative	150
63	Uniform Securities Agent State Law Exam	75
65	NASAA-Investment Advisors Law Exam	180
66	NASAA-Uniform Combined State Law Exam	150
72	Government Securities Representative	180
79	Limited Representative-Investment Banking Examination	300
82	Limited Representative-Private Securities Offerings	150
86	Research Analyst Part I Analysis Module	290
87	Research Analyst Part II Regulations Module	105
99	Operations Professional	150
161	Supervisory Analyst Part 1	90
162	Supervisory Analyst Part 2	120
CE101	Regulatory Element General Program	180
CE106	Regulatory Element Investment Representative Program	180
CE201	Regulatory Element Supervisor/Principal Program	180
CE501	Proprietary Traders Continuing Education Program	120
CE901	Regulatory Element Operations Professional Program	180