

## Attn: Manager P&S Dept./Traders/Cashier/Manager Reorganization/Manager Dividends

## UNIFORM PRACTICE ADVISORY (UPC # 083-2001) October 8, 2001

## WHEN, AS AND IF ISSUED SETTLEMENT DATES

<u>ISSUE</u> <u>SETTLEMENT DATE</u>

**Stage Stores Inc New (OTC:STGSV)** 

- Common Stock Thursday, October 11, 2001

## IMC Mortgage Company - Common Stock (OTC:IMCC)

The Nasdaq Stock Market, Inc. has been informed that the above company has terminated it's operations.

Members are reminded of their obligations under NASD Conduct Rule 2310 if they continue to engage in transactions in the above security after the effective date.

Members are further advised that deliveries in settlement of contracts in the IMCC, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) shares of IMCC; or b) a *Letter of Indemnity* which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: Market Data Integrity Department, (203) 375-9609.

Dorothy L. Kennedy Director