

## Attn: Manager P&S Dept./Traders/Cashier/Manager Reorganization/Manager Dividends UNIFORM PRACTICE ADVISORY (UPC # 001-2001) January 2, 2001

## Citizens National Corporation (KY) - Common Stock (CZNL)

On June 26, 2000, the above company was added to the OTC Bulletin Board (OTCBB) with CUSIP number 176542108. Trading commenced in the stock in November, 2000. However, upon investigation, it has been determined that CUSIP number 176542108 represents Citizens National Corporation incorporated in *Florida* which was acquired in 1994.

Members are advised that any trades executed in CZNL (the Kentucky corporation) under CUSIP 176542108 must be settled by the same amount of shares evidenced by certificates without a CUSIP number, since there is no CUSIP number currently available for the Kentucky corporation.

## Laclede Steel Company - Common Stock (LCLDQ)

Notice has been received that the above Company's Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective on December 29, 2000. Pursuant to the Plan, holders of the above referenced securities *will not* receive any distributions of property on account of their interests.

Members are reminded of their obligations under NASD Conduct Rule 2310 if they continue to engage in transactions in the above security after the effective date.

Members are further advised that deliveries in settlement of contracts in the <u>OLD</u> securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the <u>OLD</u> security; or b) a *Letter of Indemnity* which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Rule 11530 (formerly Uniform Practice Code Section 27) subsection (b) (1) and (b) (2) adopted November 1, 1991.

Questions regarding this notice should be directed to: Market Data Integrity Department, (203) 375-9609.

Dorothy L. Kennedy Director