

Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #19-18) 04/18/2018 MorphoSys AG Sponsored ADR (MPSYY)

FINRA is issuing this notice to alert members and market participants of a pending corporate action involving over-the-counter equity security (symbol: MPSYY) and a pending Nasdaq listing of the initial public offering of MorphoSys AG (symbol: MOR) on April 19, 2018. Members and market participants are advice to review NASDAQ's Equity Corporate Actions Alert #2018-63 for further details.

http://www.nasdagtrader.com/TraderNews.aspx?id=ECA2018-63