



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #25-18) 08/09/2018
Oakridge Holdings, Inc. (OKRGO)

Notice has been received that the above Company's First Modified Plan of Reorganization, became effective on 08/02/2018. Pursuant to the plan, on the Effective Date, all of the equity securities representing ownership interests in the Company, including all outstanding shares of the Company's capital stock, and any rights to acquire any shares of the Company's capital stock, will be cancelled and extinguished and the Company will have no further obligation thereunder. Holders of the Company's common stock will not receive any distributions in connection with their shares. No new shares of capital stock or rights to acquire shares of capital stock in the Company will be issued or distributed and the Company will be dissolved. For thorough details, please consult the Company's First Modified Plan of Reorganization.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Members are advised that deliveries in settlement of contracts in the OLD securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the OLD security; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800

¹ See e.g., *In re Oakridge Holdings, Inc., et al. Debtors*. No. 17-31669 (KHS) (Jointly Administered) First Modified Plan of Reorganization of Oakridge Holdings, LLC.