



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #28-18) 09/17/2018
Quadrant 4 Systems Corp (QFORQ)

Notice has been received that the above Debtors' Chapter 11 Plan of liquidation became effective on 9/13/2018. Pursuant to the Plan, Upon the Effective Date, any and all existing equity Interests shall be deemed extinguished and of no further force or effect. It is not anticipated there will be a distribution of any amounts to allowed equity interest holders. To the extent any cash remains after all allowed class 1 claims, allowed class 2 claims, allowed class 3 claims, allowed class 4 claims, allowed class 5 claims, allowed administrative claims, and allowed priority tax claims are paid in full, then in such event, and in full and final satisfaction, settlement, and release of and in exchange for such allowed class 6 equity interests as of the distribution record date shall receive their pro rata share of the cash available for distribution from the liquidating trust. For thorough details, please consult the Company's Amended Joint Plan of Liquidation.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Members are advised that deliveries in settlement of contracts in the OLD securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the OLD security; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: QUADRANT 4 SYSTEM CORPORATION, INC, et al.* Debtors, No. 17-19689 (Jointly Administered) (Amended Joint Plan of Liquidation of Quadrant 4 System Corporation and Stratitude, Inc. And the Official Committee of Unsecured Creditors)